

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent of:

Date: October 27, 2009

Victor Chan, et al.

Confirmation No: 1458

Patent No.: 6,985,899

Group Art Unit: 2165

Filed: 11/27/2001 (Issue Date: January 10, 2006) Examiner: VEILLARD, JACQUES

For: METHOD AND SYSTEM FOR COMPOSING A QUERY FOR A DATABASE  
AND TRAVERSING THE DATABASE

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 CFR §1.324**

Sir:

The above-referenced patent was filed November 27, 2001. Submitted on February 28, 2002, was a Declaration under 37 CFR §1.63, executed by Victor CHAN, Fen WANG, and Mark W. HUBBARD.

Patentees and Assignee hereby petition the Commissioner of Patents and Trademarks to correct the inventorship of the present patent to include the addition of the following individual as a named inventor:

Howard CHIN  
36 Southgate Ave  
North York, Ontario  
M3H 1B2  
Canada  
Citizen of CA

In accordance with 37 CFR §1.324(b), submitted herewith is a statement from Mr. CHIN, a statement from the current named inventors Victor CHAN, Fen WANG and Mark W. HUBBARD, an Assent of Assignee for the Correction/Addition of Inventorship executed by the

Assignee of Record, International Business Machines Corporation, a statement under 3.73(b), and the fee set forth in §1.20(b). The Office is hereby authorized to charge the fee set forth in §1.20(b) to Deposit Account No. 09-0460.

Should any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,  
SAWYER LAW GROU, P.C.

October 27, 2009  
Date

/Joseph A. Sawyer, Jr./  
Joseph A. Sawyer, Jr.  
Attorney for Applicants  
Reg. No. 30,801  
(650) 493-4540

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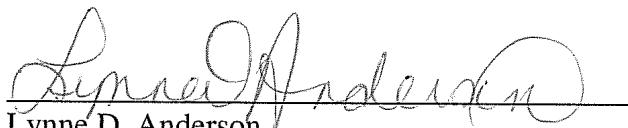
**ASSENT OF ASSIGNEE TO CORRECTION/ADDITION  
OF INVENTORSHIP UNDER 37 C.F.R. § 1.324**

Sir:

International Business Machines Corporation is the owner of the entire right, title and interest in and to the above-referenced patent by virtue of an employment agreement and payment to the inventors.

Assignee hereby assents to the addition of inventorship and confirms that the inventors for the present patent are as named in the PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 CRF §1.324 submitted herewith.

Signed this 27<sup>TH</sup> day of MARCH, 2009.

  
Lynne D. Anderson  
Senior Program Manager – USPTO Liaison

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Victor CHAN, Fen WANG, Mark W. HUBBARDApplication No./Patent No.: 09/996,127 / 6,985,899 Filed/Issue Date: November 27, 2001 / January 10, 2006Titled: **METHOD AND SYSTEM FOR COMPOSING A QUERY FOR A DATABASE AND TRAVERSING THE DATABASE**

International Business Machines Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013061, Frame 0057, or for which a copy therefore is attached.

**OR**

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Lynne D. Anderson

Signature

Date

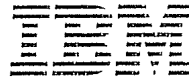
Senior Program Manager - USPTO Liaison

(703) 299-1450

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**



North Castle Drive  
Armonk, NY 10504

LETTER OF AUTHORITY

I, Patrick Toole, Vice President, Intellectual Property and Standards of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing, reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, program manager, U.S. Patent and Trademark Office liaison.

Date: March 30, 2006

A handwritten signature of Patrick Toole in cursive script, written over a horizontal line.

Patrick Toole  
Vice President, Intellectual Property & Standards  
International Business Machines Corporation

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Victor Chan, et al.

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**STATEMENT FROM PERSON BEING ADDED AS AN INVENTOR**  
**UNDER 37 CFR §1.324**

Sir:

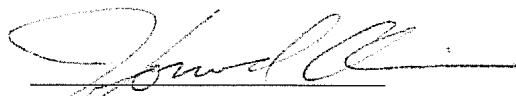
I, Howard CHIN, hereby submits the following statement in accordance with 37 CFR §1.324(b)(1) to support the PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 CRF §1.324 submitted herewith.

**“The inventorship error of failing to include Howard CHIN as an inventor of the patent occurred without any deceptive intention on the part of Howard CHIN.”**

I hereby declare that the above statement made of my own knowledge is true; and further that this statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this patent.

Respectfully submitted,

March 26/09  
Date

  
Howard CHIN

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**STATEMENT FROM CURRENT NAMED INVENTORS AS REQUIRED FOR  
CORRECTION OF INVENTORSHIP  
UNDER 37 CFR §1.324**

Sir:

We, Victor CHAN, Fen WANG and Mark W. HUBBARD, as current named inventors for the present patent, hereby submits the following statement in accordance with 37 CFR §1.324(b)(2) to support the PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 CFR §1.324 submitted herewith.

**“Victor CHAN, Fen WANG and Mark W. HUBBARD agree to the change of inventorship as requested in the PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 CFR §1.324 submitted herewith to include Howard CHIN as a fourth joint inventor for the present patent.”**

We hereby declare that the above statement made of our own knowledge is true; and further that this statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this patent.

Respectfully submitted,

Aug 20, 2009

Date



Victor CHAN

\_\_\_\_\_  
Date

\_\_\_\_\_  
Fen WANG

\_\_\_\_\_  
Date

\_\_\_\_\_  
Mark W. HUBBARD



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Respectfully submitted,

\_\_\_\_\_  
Date

April 9th 2009

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

\_\_\_\_\_  
Victor CHAN

\_\_\_\_\_  
Fen WANG

\_\_\_\_\_  
Mark W. HUBBARD

Attorney Docket No.: CA920000048US1/2174P

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OCT/02/2009/FRI 08:30 PM

P. 003

Attorney Docket: No.: CA920000048US1/2174P

Respectfully submitted,

\_\_\_\_\_  
Date

\_\_\_\_\_  
Victor CHAN

\_\_\_\_\_  
Date

08/25/09

\_\_\_\_\_  
Date

\_\_\_\_\_  
Fen WANG



\_\_\_\_\_  
Mark W. HUBBARD